

Receipt date: 05/30/2006

**10/580969**

10580969 - GAU: 2891

Attorney Docket # 5367-237PUS

Express Mail #EV815067924US

Patent

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re National Phase PCT Application of

Tony ALBRECHT et al.

Int'l PCT Serial No.: PCT/DE2004/002384

Int'l Filing Date:: October 26, 2004

For: Light-Emitting Semiconductor Component  
Comprising a Protective Diode

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop **PCT**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SIR:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO SB/08A.

In accordance with 37 C.F.R. §§ 1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant(s) reserve(s) the right to prove that the date of publication is in fact different.

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1AP20 Rec'd PCT/PTO 30 MAY 2006

Submitted herewith is a copy of the International Search Report issued in connection with International Application on which the present U.S. National Phase application is based.

Copies of the references cited in the International Search Report have been supplied by WIPO to the USPTO. Therefore, copies of the cited references in the International Search Report are not being provided herewith in accordance with current U.S. practice because they should be on file already at the USPTO.

It is respectfully requested that the Examiner return an initialed copy of the attached Form PTO SB/08A to confirm that the publications supplied by WIPO and listed therein have been considered and made of record.

Respectfully submitted,  
COHEN, PONTANI, LIEBERMAN & PAVANE

By Thomas Langer  
Thomas Langer  
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Dated: May 30, 2006

Receipt date: 05/30/2006

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Approved for use through 04/30/2003, OMB 0651-0031

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Substitute for Form 1449/PTO 10580969 - GAU: 2891		<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		Application Number	10580969
		Filing Date	
		First Named Inventor	Tony ALBRECHT
		Art Unit	2891
		Examiner Name	Eric Ward
Sheet	1	of	1
		Attorney Docket Number	5367-237PUS

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>2</sup> , Number <sup>3</sup> , Kind-Code <sup>4</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/E.W.J		JP 10 200159 A	07/31/1998	Rohm Co Ltd.	
/E.W.J		JP 09 027651 A	01/28/1997	Oki Electric Ind. Co. Ltd.	
/E.W.J		JP 57 093591 A	06/10/1982	Hitachi Ltd.	
/E.W.J		JP 09 027657 A	01/28/1997	Oki Electric Ind. Co. Ltd.	
/E.W.J		JP 2000 312033 A	11/07/2000	Nichia Chem Ind. Ltd.	
/E.W.J		JP 59 019389 A	01/31/1984	Fujitsu KK	

Examiner Signature	/Eric Ward/	Date Considered	07/07/2008
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. include copy of this form with next communication to applicant. "Applicant's" unique citation designation number (optional). See *Kinds Codes of USPTO Patent Documents at 609.01* and *609.01(a)*. Enter date that issued the document, by the two-letter code (WIPO Standard ST.3). \* For Japanese patent documents, the indication of the year of the right of the grant or the date of publication of the patent document. Kind of document indicated by the appropriate symbols as indicated on the document under WIPO Standard ST.3, if applicable. Appropriate in some countries, if not applicable, leave blank.

This collection of information is required to obtain or retain a benefit by a public entity, is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 CFR 1.57 and 1.58. The information is intended to be used by the USPTO and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20591-0000.

ES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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By Express Mail # EV815067924US · May 30, 2006  
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SIR:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO/SB/08a.

The reference submitted herewith has been discussed on pages 1 and 2 of the instant specification.

It is respectfully requested that the above information be considered by the Examiner and that the copy of the enclosed Form PTO/SB/08a be returned indicating that such information has been considered.

In accordance with 37 C.F.R. §§ 1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56(b),

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APPENDIX D 30 MAY 2006

or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant(s) reserve(s) the right to prove that the date of publication is in fact different.

If any fees or charges are deemed required at this time in connection with the application, the same may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

Respectfully submitted,  
COHEN, PONTANI, LIEBERMAN & PAVANE

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Dated: May 30, 2006

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**Substitute for Form 1449/PTO**

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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

## Sheet

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<i>Complete if Known</i>	
Application Number	10/580969
Filing Date	
First Named Inventor	Tony ALBRECHT
Art Unit	2891
Examiner Name	Eric Ward
Attorney Docket Number	5367-237PUS

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## FOREIGN PATENT DOCUMENTS

Examiner Signature	/Eric Ward/	Date Considered	07/07/2008
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